# A New Procedure for Extraction of Noise Wave Parameters of Microwave FETs

## Vladica Đorđević<sup>1</sup>, Zlatica Marinković<sup>2</sup>, Vera Marković<sup>2</sup> and Olivera Pronić-Rančić<sup>2</sup>

Abstract – A new procedure for extraction of noise wave parameters of microwave FETs is presented in this paper. A neural network is trained to predict intrinsic noise parameters for given equivalent circuit parameters, transistor total noise parameters, frequency and ambient temperature. Determination of noise wave parameters is carried out based on the extracted intrinsic noise parameters by using existing formulas. The proposed procedure enables avoiding complex optimization procedures in microwave simulators, which are used for the determination of the noise model parameters, for different ambient temperatures. The detailed validation of the proposed procedure was done by comparison of the transistor total noise parameters obtained using extracted noise wave parameters and measured transistor total noise parameters.

*Keywords* – **MESFET**, **HEMT**, noise parameters, noise wave parameters, artificial neural network.

#### I. INTRODUCTION

Microwave FETs (MESFETs, HEMTs) are an essential part of low-noise communication devices. Therefore, it is very important to have knowledge about characteristics of microwave FETs. Their characterization includes knowledge about scattering parameters and noise parameters. The measurements of these parameters are complex and require a lot of time. This is because these parameters are frequency, temperature and bias dependent. For this reason, microwave transistors are usually represented by appropriate models in microwave computer-aided design (CAD). These models can be physical or empirical. The physical transistor models are quite complex and require many input technological parameters [1-2]. Empirical models of the transistors are much simpler and therefore, they are commonly used [3-5]. Most of the empirical models are based on the equivalent circuit representation. In the case of microwave FETs, equivalent circuits that are used to analyze the characteristics of the noise are based on the small-signal equivalent circuits.

Several FET noise models that are based on the equivalent circuit representation are developed. These noise models consist of a small-signal equivalent circuit and assigned noise sources. Those noise sources are usually equivalent voltage and/or current sources. In recent years, Pospieszalski's noise

<sup>2</sup>Zlatica Marinković, Vera Marković and Olivera Pronić-Rančić are with the Faculty of Electronic Engineering, University of Niš, Aleksandra Medvedeva 14, 18000 Niš, Serbia, E-mail: zlatica.marinkovic@elfak.ni.ac.rs, vera.markovic@elfak.ni.ac.rs, olivera.pronic@elfak.ni.ac.rs. model is widely used in commercial microwave circuit simulators [5]. This model is based on H representation of device intrinsic circuit with two uncorrelated noise sources, one on the gate side and other at the drain side. However, at microwave frequencies, it is more suitable to use noise wave modeling procedure of MEFETs / HEMTs because the treatment of noise in terms of waves seems to be more appropriate [6-11]. It is important to note that noise wave model provides advantages in CAD of microwave networks, because it allows the use of scattering matrices for the noise computations.

A noise model usually refers to the intrinsic part of the transistor. Therefore, a noise model provides relationships between noise model parameters and the intrinsic noise parameters,  $N_{int}$ . This means that noise model parameters can be calculated on the basis of known  $N_{int}$ , and vice versa. Based on the extracted noise model parameters, the total noise parameters,  $N_{total}$ , are calculated in the circuit simulator taking into account effects of the extrinsic circuit elements.

A new procedure for extraction of microwave FETs noise wave parameters is presented in this paper. Extraction of these parameters is carried out based on the extracted  $N_{int}$ . For the purpose of extracting the  $N_{int}$ , previously proposed approach based on artificial neural network (ANN) [12] is used.

Neural-network computational approach has proven to be a very useful modeling tool in the area of microwaves, e.g. for the purpose of modeling of microwave transistors [13-19]. Namely, the process of optimization of microwave circuits based on the use of CAD tool can be very time-consuming. By using neural networks, the whole process of microwave circuits analysis becomes more efficient, because once developed neural models give responses almost instantaneously. This stems from the fact that responses of neural networks are based on performing basic mathematical operations and calculating of elementary mathematic functions. The main feature of neural networks is their ability to determine response even for input combinations that are not used for the process of learning, i.e. they have a great generalization capability. In that way, the developed neural models can be used over a wide range of input parameters.

The proposed procedure, which is based on the developed neural model and existing formulas for the noise wave model, enables avoiding complex optimization procedures in microwave simulators, which are conventionally used for the determination of the noise model parameters. This is reflected in a significantly shorter time required for the extraction of the noise wave parameters.

The paper is structured as following: after Introduction, in Section II the noise wave model of microwave FETs is described. In Section III the proposed artificial neural network based procedure is described. In Section IV the numerical

<sup>&</sup>lt;sup>1</sup>Vladica Đorđević is with the Innovation Center of Advanced Technologies, Dragiše Cvetkovića 28A, 18105 Niš, Serbia, E-mail: vladica.djordjevic@icnt.rs.

results of extraction of noise wave parameters of microwave FETs are presented and discussed. The main conclusions are given in Section V.

#### II. NOISE WAVE MODEL OF MICROWAVE FETS

The noise wave model of the microwave FET devices in packaged form is considered in this paper. Equivalent circuit of packaged MESFET / HEMT is shown in Fig. 1. It consists of intrinsic and extrinsic part. The intrinsic equivalent circuit is framed with a broken line, and intrinsic noise parameters are referred to it. The remainder of the equivalent circuit (extrinsic circuit) may be different, depending on the included parasitic effects. The total noise parameters are used to characterize the noise of the entire transistor, which is represented by the equivalent circuit shown in Fig. 1.



Fig. 1. Equivalent circuit of packaged MESFET / HEMT

Since, the transistor intrinsic circuit is linear noisy two-port network, it can be considered as a noiseless two-port defined by transfer scattering parameters [T] and two noise wave sources,  $a_n$  and  $b_n$ , referring to the input, as shown in Fig. 2. The matrix equation describing this representation of the noisy two-port follows, [8]:

$$\begin{bmatrix} a_1 \\ b_1 \end{bmatrix} = \begin{bmatrix} T_{11} & T_{12} \\ T_{21} & T_{22} \end{bmatrix} \begin{bmatrix} b_2 \\ a_2 \end{bmatrix} + \begin{bmatrix} a_n \\ b_n \end{bmatrix}.$$
 (1)

where  $a_i$  and  $b_i$ , i = 1, 2, are incident and output waves at the *i*-th port.



Fig. 2. Two port noisy network

The elements of the noise source vector are correlated and characterized by correlation matrix  $C_T$ :

$$\boldsymbol{C_T} = \begin{bmatrix} \langle |\boldsymbol{a}_n|^2 \rangle & \langle -\boldsymbol{a}_n \boldsymbol{b}_n^* \rangle \\ \langle -\boldsymbol{b}_n \boldsymbol{a}_n^* \rangle & \langle |\boldsymbol{b}_n|^2 \rangle \end{bmatrix},$$
(2)

where  $\langle \rangle$  indicates time average of the quantity inside and \* indicates complex conjugation. The noise wave model is characterized by its parameters called noise wave temperatures. It is very convenient to use these temperatures as empirical model parameters [6]. The correlation matrix  $C_T$  can be expressed by noise wave temperatures as:

$$\boldsymbol{C_T} = k\Delta f \begin{bmatrix} T_a & |T_c|e^{j\phi_c} \\ |T_c|e^{-j\phi_c} & T_b \end{bmatrix},$$
(3)

where k is the Boltzmann's constant and  $\Delta f$  is the noise bandwidth ( $\Delta f$ =1Hz is assumed). In this way the noise performance of any two-port network can be completely characterized by two real temperatures  $T_a$  and  $T_b$  and a complex correlation temperature  $T_c$ . These temperatures can be expressed in terms of the noise parameters of transistor intrinsic circuit ( $F_{mini}$  – minimum noise figure,  $\Gamma_{opti}$  – optimum source reflection coefficient (complex value which is usually represented in polar form, as a magnitude, Mag( $\Gamma_{opti}$ ), and an angle, Ang( $\Gamma_{opti}$ )) and  $R_{ni}$  – noise resistance) as follows:

$$T_{a} = T_{0}(F_{mini} - 1) + \frac{4R_{ni}T_{0}\left|\Gamma_{opti}\right|^{2}}{Z_{0}\left|1 + \Gamma_{opti}\right|^{2}},$$
(4)

$$T_{b} = \frac{4R_{ni}T_{0}}{Z_{0}\left|1 + \Gamma_{opti}\right|^{2}} - T_{0}(F_{mini} - 1), \qquad (5)$$

$$T_c = \frac{4R_{ni}T_0\Gamma_{opti}}{Z_0 \left|1 + \Gamma_{opti}\right|^2},\tag{6}$$

where  $Z_0$  is the normalization impedance (50 $\Omega$ ) and  $T_0$  is the standard reference temperature (290K).

#### III. PROPOSED PROCEDURE BASED ON ANN

As already mentioned, the optimization procedures for determination of the noise model parameters can be very complex. Instead, the determination of the noise model parameters can be simplified if they are calculated from the intrinsic noise parameters. For the purpose of obtaining the intrinsic noise parameters, ANNs approach proposed in [12] is used.

The proposed procedure for extraction of noise wave parameters (noise wave temperatures) is illustrated in Fig. 3. The noise wave temperatures are the functions of the intrinsic noise parameters,  $N_{int}$ , as given by Eqs. (4-6). The intrinsic

noise parameters are obtained by using neural model defined by the following expression:

$$N_{int} = f(ECPs, N_{total}, f, T_{amb}).$$
<sup>(7)</sup>

Namely, a neural network is trained to predict intrinsic circuit noise parameters,  $N_{int}$ ,  $(F_{mini}, r_{ni}$  (normalized noise resistance), Mag( $\Gamma_{opti}$ ) and Ang( $\Gamma_{opti}$ )) for given small-signal equivalent circuit parameters, *ECPs*, transistor total noise parameters,  $N_{total}$ ,  $(F_{min}, r_n, \text{Mag}(\Gamma_{opt}))$  and Ang( $\Gamma_{opt}$ )), frequency, *f*, and ambient temperature,  $T_{amb}$ .  $T_{amb}$  is included in the model because the noise of the resistive elements depends on the temperature.



Fig. 3. Proposed procedure for extraction of noise wave temperatures based on ANN

#### **IV. NUMERICAL RESULTS**

The proposed modeling procedure was applied to a packaged HEMT, type NE20283A, and some of the obtained results are presented in this paper. All simulations are performed using microwave circuit simulator ADS (Advanced Design System), [20]. Measured *S* and noise parameters were available in the frequency range (6 - 18) GHz over the temperature range (233 - 333) K (step 20 K). They have been obtained earlier at the University of Palermo, Italy, by a convenient measurement procedure, [21].

A neural network that is an integral part of the proposed procedure is developed in [12], for the purpose of extraction of intrinsic noise parameters. It consists of two hidden layers, with 9 neurons in the first, and 12 neurons in the second layer. Extraction of noise wave temperatures is carried out based on the extracted intrinsic noise parameters by using Eqs. (4-6).

The proposed procedure for extraction of noise wave temperatures is validated by comparison of the measured total noise parameters and total noise parameters obtained for extracted values of noise wave temperatures calculated by the proposed procedure. Small-signal *ECPs* and  $N_{total}$  of the transistor, at ambient temperature of 293K, at frequencies from 6 to 18 GHz, were used to obtain the values of noise wave temperatures are then used to obtain the total noise parameters within the software package ADS. In Table I, there are the corresponding test results. In this

case, average test error (ATE) and correlation coefficient, r, were used as the measure of the quality of prediction [13].

TABLE I PROPOSED PROCEDURE TESTING

	ATE [%]	r
F <sub>min</sub>	3.74	0.9949
$r_n$	6.25	0.9902
$\mathrm{Mag}(\Gamma_{opt})$	6.24	0.9986
Ang( $\Gamma_{opt}$ )	3.09	0.9998

In addition, test results of the transistor total noise parameters,  $N_{total}$ , are shown in Figs. 4 and 5.



Fig. 4. Measured and simulated values of  $F_{min}$  and  $r_n$ , depending on the frequency, at 293K



Fig. 5. Measured and simulated values of Mag( $\Gamma_{opt}$ ) and Ang( $\Gamma_{opt}$ ), depending on the frequency, at 293K

As can be seen in Fig. 4, the target values of  $F_{min}$  and  $r_n$  are well approximated by the curves representing the values of these parameters obtained by using proposed procedure in a

large part of the observed frequency band. In support of this fact, there are results obtained for ATE and r during the testing of proposed procedure (Table I), which can be considered as quite satisfactory.

The results of neural network testing for the parameter  $\Gamma_{opt}$  are shown in Fig. 5. The obtained values of ATE and *r*, for Mag( $\Gamma_{opt}$ ) and Ang( $\Gamma_{opt}$ ), are also shown in Table I. Based on these results, it can be seen that the target values of Mag( $\Gamma_{opt}$ ) and Ang( $\Gamma_{opt}$ ) are well approximated by the curves representing the values of these parameters obtained by using the proposed procedure.

### V. CONCLUSION

This paper presents development and validation of a new procedure for extraction of noise wave temperatures of microwave FETs based on artificial neural networks. The purpose of extraction of noise wave temperatures is to avoid complex optimization procedures in microwave circuit simulators, which are conventionally used for the determination of the noise model parameters. By using the proposed procedure, the process of determination of noise wave parameters becomes more efficient.

The proposed procedure was applied to a specific HEMT device in a packaged form. Determination of noise wave temperatures was carried out based on the extracted intrinsic noise parameters by using existing formulas. For the purpose of extracting the intrinsic noise parameters, previously proposed ANNs approach was used. Based on the obtained noise wave temperatures, the corresponding total noise parameters were calculated in the circuit simulator. A good agreement between simulated total noise parameters and measured total noise parameters proves validity of the proposed procedure.

#### ACKNOWLEDGEMENT

The work was supported by the TR-32052 project of the Serbian Ministry of Education, Science and Technological Development.

#### References

- R. A. Pucel, H. A. Haus, H. Statz, "Signal and noise properties of gallium arsenide microwave field-effect transistors", *Advances in Electronics and Electron Physics*, New York: Academic Press, pp. 195-265, 1975.
- [2] A. Cappy, A. Vanoverschelde, A. Schortgen, C. Versnaeyen, G. Salmer, "Noise modeling in submicrometer-gate twodimensional electron-gas field-effect transistors", IEEE Trans. Electron Devices, vol. 32, pp. 2787-2795, 1985.
- [3] H. Fukui, "Design of microwave GaAs MESFET's for broadband low-noise amplifiers", *IEEE Trans. Microwave Theory Tech*, vol. 27, pp. 643-650, 1979.
- [4] M. S. Gupta, O. Pitzalis, S. E. Rosenbaum, P. T. Greiling, "Microwave noise characterization of GaAs MESFETs:

Evaluation by on-wafer low-frequency output noise current measurement", *IEEE Trans. Microwave Theory Tech*, vol 35, pp. 1208-1218, 1987.

- [5] M. W. Pospieszalski, "Modeling of noise parameters of MESFET's and MODFET's and their frequency and temperature dependence", *IEEE Trans. Microwave Theory Tech.*, vol.37, pp. 1340-1350, 1989.
- [6] O. Pronić, V. Marković, N. Maleš-Ilić, "MESFET noise modeling based on noise wave temperatures", TELSIKS'99 Niš, Yugoslavia, 1999, pp.407-410.
- [7] R. P. Hecken, "Analysis of liner noisy two-ports using scattering waves", *IEEE Trans. Microwave Theory Tech.*, vol. 29, pp. 997-1004, October 1981.
- [8] R. P. Meys, "A wave approach to the noise properties of linear microwave devices", *IEEE Trans. Microwave Theory Tech.*, MTT-26, pp. 34-37, 1978.
- [9] S. W. Wedge, D. B. Rutledge, "Wave techniques for noise modeling and measurement", *IEEE Trans. Microwave Theory Tech.*, vol. 40, pp. 2004-2012, November 1992.
- [10] O. Pronić, V. Marković, N. Maleš-Ilić: "The wave approach to noise modeling of microwave transistors by including the correlation effect", *Microwave and Optical Technology Letters*, Vol.28, Issue 6, pp. 426-430, March 2001.
- [11] O. Pronić, V. Marković, "A wave approach to signal and noise modeling of dual-gate MESFET", AEÜ- Archiv für Elektronik und Ubertragungstechnik (International Journal of Electronics and Communications), Vol.56, No.1, pp. 61-64, January 2002.
- [12] V. Đorđević, Z. Marinković, V. Marković and O. Pronić-Rančić, "Extraction of Intrinsic Noise Parameters of Microwave FETs based on ANN", IcETRAN 2014, Vrnjačka Banja, Serbia, June 2-5, 2014.
- [13] Q. J. Zhang, K. C. Gupta, Neural Netvorks for RF and Microwave Design, Artech House, 2000.
- [14] H. Taher, D. Schreurs, and B. Nauwelaers, "Constitutive Relations for Nonlinear Modeling of Si/SiGe HBTs Using an ANN Model", Int. J. RF Microw. Comput.-Aided Eng., vol. 15, no. 2, pp. 203-209, March 2005.
- [15] Z. Marinković and V. Marković, "Temperature dependent models of low-noise microwave transistors based on neural networks", International Int. J. RF Microw. Comput.-Aided Eng., vol. 15, no. 6, pp. 567-577, Nov. 2005.
- [16] Z. Marinković, O. Pronić, and V. Marković, "Bias-dependent scalable modelling of microwave FETs based on artificial neural networks", *Microw. Opt. Techn. Lett.*, vol. 48, no.10, pp. 1932-1936, Oct 2006.
- [17] H. Kabir, L. Zhang, M. Yu, P. Aaen, J. Wood, and Q. J. Zhang "Smart modeling of microwave devices", IEEE Microw. Mag., vol. 11, pp. 105–108, May 2010.
- [18] Z. Marinković, G. Crupi, A. Caddemi, and V. Marković, "Comparison between analytical and neural approaches for multibias small signal modeling of microwave scaled FETs", *Microw. Opt. Techn. Lett.*, vol. 52, no 10, pp. 2238-2244, Oct. 2010.
- [19] Z. Marinković, G. Crupi, D. M. M.-P. Schreurs, A. Caddemi, and V. Marković, "Microwave FinFET Modeling based on Artificial Neural Networks Including Lossy Silicon Substrate", *Microelectron Eng.*, vol. 88, no. 10, pp. 3158-3163, 2011.
- [20] Advanced Desing System-version 2.7, Agilent Eesof EDA, 2008.
- [21] A. Caddemi, A. Di Paola, M. Sannino, "Microwave noise parameters of HEMTs vs. temperature by a simplified measurement procedure", Proceedings of EDMO96 Conference, Weetwood Hall, Leeds, pp. 153-157, 1996.